



Please Direct All Correspondence to Customer Number 20995

**TRANSMITTAL LETTER**  
**INFORMATION DISCLOSURE STATEMENT**

Applicant : Luis Marquez Alvarez  
App. No : 10/584,812  
Filed : June 27, 2006  
For : VERTEBRAL FIXATION DEVICE FOR  
THE TREATMENT OF  
SPONDYLOLISTHESIS  
Examiner : Unassigned  
Art Unit : 3733

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

November 9, 2006

(Date)

Brent C. Moore, Reg. No. 55,461

**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
- (X) Listing 5 references.
  - (X) Enclosing 2 references.
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Brent C. Moore  
Registration No. 55,461  
Agent of Record  
Customer No. 20,995  
(619) 235-8550



## INFORMATION DISCLOSURE STATEMENT

Applicant : Luis Marquez Alvarez  
App. No : 10/584,812  
Filed : June 27, 2006  
For : VERTEBRAL FIXATION DEVICE  
FOR THE TREATMENT OF  
SPONDYLOLISTHESIS  
Examiner : Unassigned  
Art Unit : 3733

## CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

November 9, 2006

(Date)

Brent C. Moore, Reg. No. 55,461

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 5 references to be considered by the Examiner. Also enclosed are 2 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required. If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

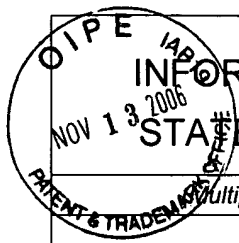
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 9, 2006

By:

Brent C. Moore  
Registration No. 55,461  
Agent of Record  
Customer No. 20,995  
(619) 235-8550



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Application No.	10/584,812
Filing Date	June 27, 2006
First Named Inventor	Luis Marquez Alvarez
Art Unit	3733
Examiner	Unassigned
Attorney Docket No.	HERR14.001APC

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	5,882,350 A	03-16-1999	Ralph et al.	
	2	2002/0143341 A1	10-03-2002	Biedermann et al.	
	3	6,063,090 A	05-16-2000	Schläpfer	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	4	MX 9910355 A	04-30-2000	Synthes AG CHUR		Abstract only
	5	EP 1 210 914 B1	05-11-2005	Traiber, S.A.		✓

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
-------------------	----------	---	----------------

3100580\cey  
110906

Examiner Signature	Date Considered
<p><b>*Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.